

Color and Conductivity in Cu₂O and CuAlO₂: A Theoretical Analysis of d¹⁰...d¹⁰ Interactions in Solid-State Compounds

Antonio Buljan

Departamento de Físico-Química, Facultad de Ciencias Químicas, Universidad de Concepción, Casilla 160-C, Concepción, Chile

Miquel Lluell, Eliseo Ruiz, and Pere Alemany*

Departament de Química Física, Departament de Química Inorgànica, and Centre de Recerca en Química Teòrica, Universitat de Barcelona, Diagonal 647, 08028 Barcelona, Catalunya, Spain

Received August 3, 2000. Revised Manuscript Received October 23, 2000

The influence of homoatomic d¹⁰...d¹⁰ interactions in the structural and physical properties of some copper-containing oxides has been investigated by using semiempirical band structure calculations of the extended Hückel type. Comparison of the band structures of CuAlO₂ and Cu₂O, two compounds with Cu(I) ions in practically identical coordination environments, reveals that the spatial arrangement of Cu...Cu contacts is responsible for their markedly different electrical and optical properties. The three-dimensional net of short Cu...Cu distances present in Cu₂O is found to be essential for the appearance of color in this p-type semiconductor. In doped CuAlO₂, on the contrary, the 2-D nature of the array of Cu...Cu contacts leads to optical transparency and a lower conductivity if compared to cuprite.

Although optical transparency and electric conductivity seem to be two contradictory physical properties of solids, there are a few known transparent conducting oxides (TCOs) that have been proposed for a large variety of technological applications. TCOs such as doped indium tin oxide (ITO), ZnO, or SnO₂ are been used as transparent electrodes in flat panel displays and solar conversion devices.^{1,2} The search for new compounds with higher transparency and/or better conductivity than those of the commercially available materials is, however, requested in the development of photoelectronic devices with higher functions. Surprisingly, all studied TCOs are n-type (electron) conductors, while no transparent oxide exhibiting a high p-type (hole) conductivity is known to exist. This new type of material could open the way to some novel applications for TCOs: A combination of the two types of transparent conductors forming a pn junction has been suggested for the construction of functional windows that would transmit visible light while generating electricity in response to absorption of ultraviolet photons, ultraviolet-emitting diodes, or transparent transistors.^{3,4}

In a recent paper by Kawazoe et al.,³ CuAlO₂ has been proposed as a starting candidate in the search for new p-type TCOs. The existence of weak homoatomic

d¹⁰...d¹⁰ interactions in this compound has been suggested by these researchers as a key factor in determining its optical and electronic properties. To gain a deeper insight into the necessary requirements to obtain better p-type TCOs, we compare here the electronic structures of CuAlO₂ and of Cu₂O, two Cu⁺-containing oxides that present weak homoatomic d¹⁰...d¹⁰ interactions. Although both compounds exhibit p-type conduction when properly doped, CuAlO₂ is transparent while Cu₂O is strongly colored. The study of the electronic structure of both compounds by the semiempirical extended Hückel method (see the Appendix for more details) reveals the important role of weak d¹⁰...d¹⁰ interactions in the origin of color and conductivity for this family of compounds.

Structural and Chemical Requirements for Wide-Gap Conductors. Most oxides of main-group elements such as SiO₂ or Al₂O₃ are electrical insulators because of their wide band gaps. The considerable ionic character of the cation–oxygen bonding implies deep-lying O(2p) energy levels, which constitute the upper edge of the valence band, and hence wide band gaps for this type of materials. On the other hand, many transition metal oxides behave as semiconductors, although often they are intensely colored because of the existence of intraatomic excitations.

The basic requirements for obtaining good transparent conductors have been recently given by Kawazoe et al.^{3,5,6} and will be briefly revised here. Two necessary

(1) Chopra, K. L.; Major, S.; Pandya, D. K. *Thin Solid Films* **1983**, *102*, 1.

(2) Hamberg, I.; Granqvist, C. G. *J. Appl. Phys.* **1986**, *60*, R123.

(3) Kawazoe, H.; Yasukawa, M.; Hyodo, H.; Kurita, M.; Yanagi, H.; Hosono, H. *Nature* **1997**, *389*, 939.

(4) Kudo, A.; Yanagi, H.; Hosono, H.; Kawazoe, H. *Appl. Phys. Lett.* **1998**, *73*, 220.

(5) Kawazoe, H.; Ueda, N.; Un'no, H.; Omata, T.; Hosono, H.; Tanoue, H. *J. Appl. Phys.* **1994**, *76*, 7935.

conditions must be satisfied for obtaining a wide gap conductor. The first one is related to the mobility of carriers. In many electrical insulators the low mobility of carriers, in addition to the large band gap, is the origin of their insulating behavior. For these compounds, conductivity is not expected to be enhanced by doping. The second basic requirement is related to the possibility of carrier generation by properly doping the parent compounds. Since it is difficult to predict from a theoretical point of view how a compound must be doped for efficient generation of charge carriers, we will focus our attention on the first requirement, the high mobility of these carriers, which can be associated with the presence of wide conduction or valence bands for n- and p-type conductors, respectively.

An essential component for an n-type wide-gap conductor is a heavy main-group cation with an s^0 electronic configuration. Zn^{2+} , Cd^{2+} , Ga^{3+} , and In^{3+} are, therefore, good candidates for forming oxides in which the bottom edge of the conduction band is composed mainly of s orbitals of the cationic species. Together with this requirement, a wide conduction band, arising from direct overlap between s orbitals on neighboring cations, is necessary to ensure high mobility of conduction electrons. Short M...M distances have been identified as a basic ingredient in the design of n-type TCOs. Structural motifs that accomplish this condition are the linear chains of edge-sharing MO_6 octahedra found in the rutile structure of SnO_2 or the linear chains of edge-sharing polyhedra present in the case of In_2O_3 . Vertex-sharing polyhedra with linear M-O-M fragments, on the contrary, give rise to flat bands, inappropriate for high-mobility electron carriers.

The case of p-type TCOs is somewhat different. In these compounds, wide bands at the upper edge of the valence band enhance the mobility of hole carriers. The strong localization of these levels in most of the oxides, where the upper part of the valence band is mainly due to O(2p) levels, may be the reason for the nonexistence of p-type TCOs. The necessary modification of this situation requires the introduction of cations with a closed shell whose energy is comparable to the O(2p) levels. The closed shell is necessary to ensure that no intraatomic excitations will color the crystal. Good candidates for p-type TCOs can be expected for Cu^+ , Ag^+ , and Au^+ -containing oxides. Tetrahedral coordination of the oxygen atoms is important to reduce the localized behavior of 2p electrons because there is no nonbonding lone pair left on them.

The crystal structure is also fundamental in the design of a compound with the desired properties. CuAlO_2 and Cu_2O contain both Cu^+ ions forming linear O-Cu-O fragments and tetrahedrally coordinated O atoms, and when properly doped, both behave as p-type conductors. Their optical properties are, however, totally different: while CuAlO_2 is practically transparent with a direct band gap of approximately 3.5 eV^3 (experimental data⁷ show, however, that the indirect band gap may be smaller), Cu_2O is strongly colored with a direct band gap of 2.17 eV determined by optical absorption.⁸ To understand the origin of the different behavior found for these two compounds, we will start by having a closer look at both crystal structures.

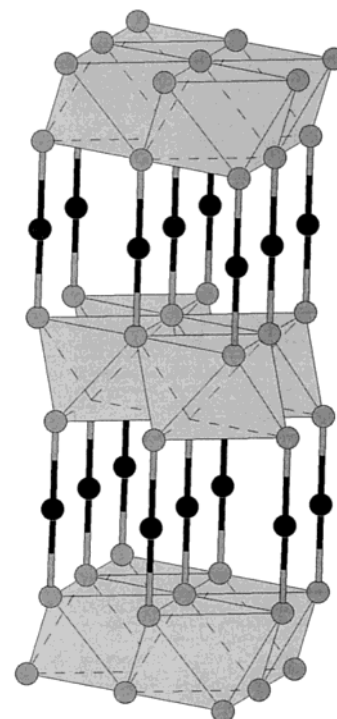


Figure 1. Delafossite crystal structure for CuAlO_2 (2H polymorph). Cu, black balls; O, gray balls. Al atoms are located in the center of the shaded octahedra.

Comparison between the Delafossite and the Cuprite Crystal Structures. Delafossite-type CuAlO_2 crystallizes in a simple structure⁹ (Figure 1) built of infinite one-octahedron-thick sheets of close-packed AlO_6 octahedra. These layers are linked together by the Cu atoms, forming linear O-Cu-O units. Although the stacking of successive layers of AlO_6 octahedra can result in different polymorphs, optical and electrical properties,^{7,10–12} dominated by the O-Cu-O fragments, are very similar in the two most common polymorphs of CuAlO_2 , known as 3R¹³ and 2H.¹⁴ Oxygen atoms in the delafossite structure have all tetrahedral coordination spheres formed by one copper and three aluminum atoms.

Cu_2O crystallizes in the cuprite structure⁹ (Figure 2), formed by a bcc array of oxygen atoms with the copper atoms inserted between two consecutive oxygen layers, in such a way that each oxygen atom is surrounded by a tetrahedron of copper atoms. Each copper atom is two-coordinate, forming linear O-Cu-O units analogous to those found in the delafossite structure. An alternative description of the cuprite structure,¹⁵ which will be used later on, is that it is formed by two interpenetrating frameworks, each one equivalent to the cristobalite

(7) Benko, F. A.; Koffyberg, F. P. *J. Phys. Chem. Solids* **1984**, *45*, 57.

(8) Nikitine, S.; Grun, J. B.; Sieskind, M. *J. Phys. Chem. Solids* **1961**, *27*, 931.

(9) Wells, A. F. *Structural Inorganic Chemistry*, 3rd ed.; Clarendon Press: Oxford, U.K., 1984.

(10) Benko, F. A.; Koffyberg, F. P. *Can. J. Phys.* **1985**, *63*, 1386.

(11) Benko, F. A.; Koffyberg, F. P. *Mater. Res. Bull.* **1986**, *21*, 753.

(12) Benko, F. A.; Koffyberg, F. P. *J. Phys. Chem. Solids* **1987**, *48*, 431.

(13) Ishiguro, T.; Kitazawa, A.; Mizutani, N.; Kato, M. *J. Solid State Chem.* **1981**, *40*, 170.

(14) Köhler, B. U.; Jansen, M. *Z. Kristallogr.* **1983**, *165*, 313.

(15) Müller, U. *Inorganic Structural Chemistry*; Wiley: Chichester, U.K., 1993.

(6) Kawazoe, H.; Ueda, K. *J. Am. Ceram. Soc.* **1999**, *82*, 3330.

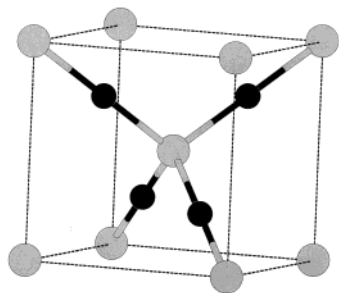
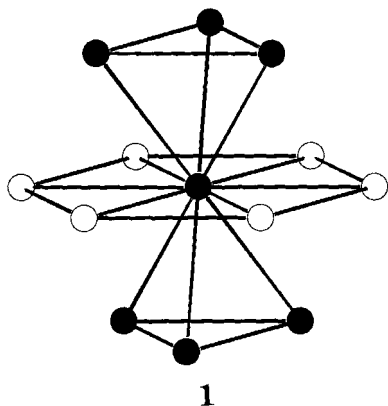


Figure 2. Cuprite crystal structure. Cu, black balls; O, gray balls.

structure of SiO_2 . Figure 3 shows a $2 \times 2 \times 2$ supercell of the cuprite structure in which the two interpenetrating frameworks are represented in different colors.

Since optical and electrical properties of these two compounds are expected to be mainly dominated by the coordination environments of copper atoms, it is important to analyze their geometries carefully. The Cu–O distance in the O–Cu–O fragments is very similar in both compounds: 1.86 Å in CuAlO_2 vs 1.85 Å in Cu_2O . As far as the second nearest neighbors of copper atoms are concerned, we find interesting differences between them. In the delafossite structure each Cu atom sits in the center of a regular hexagon formed by six copper atoms with a $\text{Cu}\cdots\text{Cu}$ distance of 2.86 Å. This distance is relatively short when compared with the nearest-neighbor distance found for metallic copper (2.56 Å) and is in the range of intermetallic distances found in copper(I) compounds with weak $d^{10}\cdots d^{10}$ interactions.^{16,17} In the cuprite structure each copper atom is surrounded by twelve copper atoms (**1**)



located at a distance of 3.02 Å. Six of them (black balls in **1**), arranged in a distorted octahedron, belong to the same sublattice as the central copper atom, while the other six (white balls in **1**), which belong to the other sublattice, form a regular hexagon around the central atom. If one compares the two structures, it is evident that while in CuAlO_2 copper atoms are arranged in layers, without $d^{10}\cdots d^{10}$ interactions between neighboring layers, in Cu_2O , these interactions form a complicated 3-D net of $\text{Cu}\cdots\text{Cu}$ contacts. As will be seen later, in this compound weak $d^{10}\cdots d^{10}$ interactions are only effective between copper atoms of different sublattices, but even if only these $\text{Cu}\cdots\text{Cu}$ contacts are considered, the spatial arrangement of $\text{Cu}\cdots\text{Cu}$ links in the cuprite structure remains still 3-D in nature.

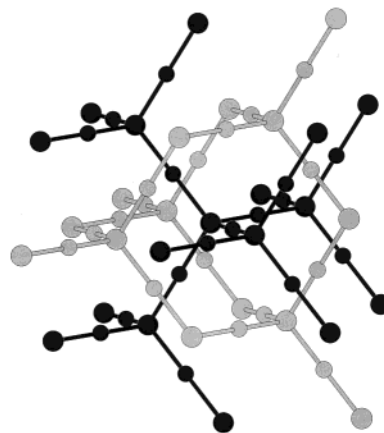


Figure 3. Cuprite structure represented as two interpenetrated lattices. Black and gray balls belong to each of the two different sublattices.

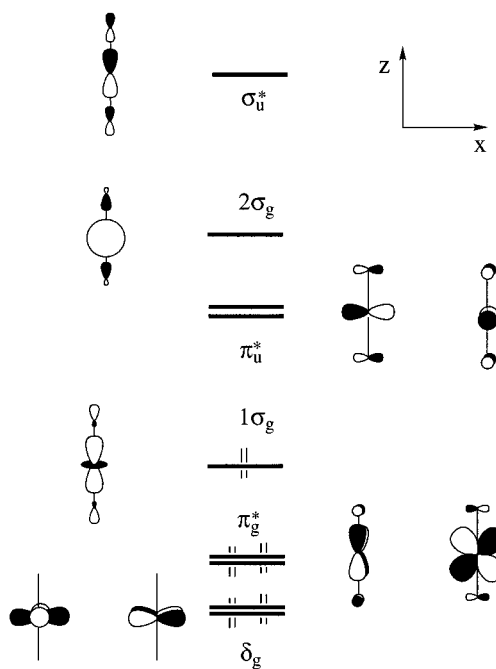


Figure 4. Molecular orbitals for a linear $[\text{O-Cu-O}]^{3-}$ fragment.

Analysis of the $d^{10}\cdots d^{10}$ Interaction between Linear $[\text{O-Cu-O}]^{3-}$ Units. The simplest system that can be used to analyze the $d^{10}\cdots d^{10}$ interactions found in CuAlO_2 and in Cu_2O is formed by two linear $[\text{O-Cu-O}]^{3-}$ units. The relevant MOs for one of these fragments, calculated by the extended Hückel method, are shown in Figure 4. Since interaction between neighboring units is weak, the nature of the valence and conduction bands in both solids will be basically dictated by the orbital ordering shown in the figure. The top of the valence band is thus expected to be formed by the d_{z^2} -type orbitals of Cu, which are destabilized by the antibonding interaction with oxygen sp hybrids. The mobility of the carriers that are generated by doping will depend on the dispersion acquired by these orbitals when forming a band through interaction between neighboring copper atoms. Although the relative disposition of neighboring $[\text{O-Cu-O}]^{3-}$ units is different in both crystals (in CuAlO_2 both units are parallel, while in Cu_2O they form a

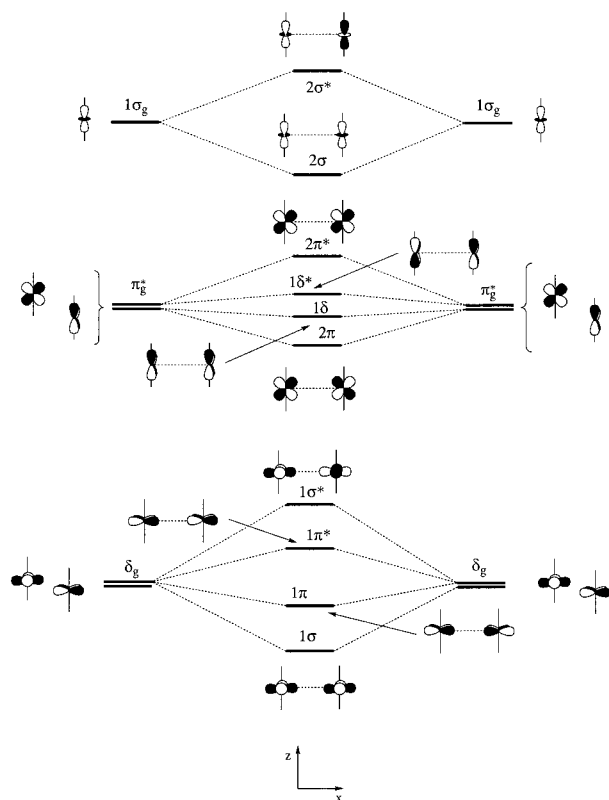
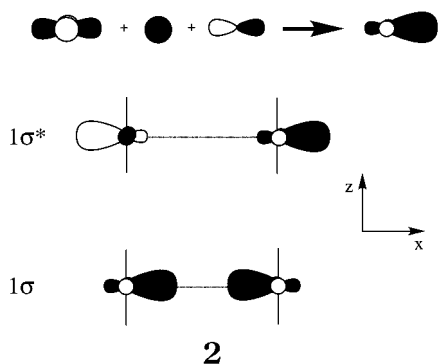


Figure 5. Orbital interaction diagram for two parallel $[\text{O-Cu-O}]^{3-}$ fragments.

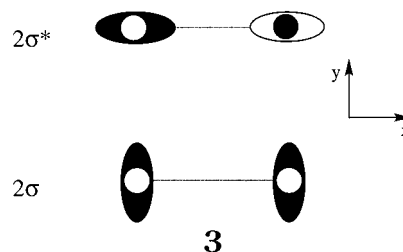
dihedral angle of 70.5°), this difference does not affect the dispersion of the band originated from the d_{z^2} -type orbitals since their interaction is insensitive to rotations around the $\text{Cu}\cdots\text{Cu}$ axis. In the following we will discuss only the case of two parallel $[\text{O-Cu-O}]^{3-}$ fragments as found in CuAlO_2 .

The interaction diagram for two parallel $[\text{O-Cu-O}]^{3-}$ fragments is presented in Figure 5. The calculated $\text{Cu}\cdots\text{Cu}$ overlap population for a $\text{Cu}\cdots\text{Cu}$ distance of 3.02 \AA is 0.0058 , indicating a weak bonding interaction between both closed shells. The positive overlap population has been explained by mixing of empty s and p orbitals with the filled d ones.^{18,19} In this case, the interaction responsible for the weak bond can be attributed to the 1σ - $1\sigma^*$ pair (2).



Mixing of s and p orbitals into the symmetric (1σ) and

antisymmetric ($1\sigma^*$) combinations of the $x^2 - y^2$ orbitals leads to an enhanced bonding interaction for 1σ and a less antibonding interaction for $1\sigma^*$. To check the role of empty s and p orbitals in the weak $d^{10}\cdots d^{10}$ interaction, one can remove the s and p orbitals from the basis set and recalculate the $\text{Cu}\cdots\text{Cu}$ overlap population. The result of this numerical experiment gives an overlap population of -0.0004 , indicating a net repulsion between both atoms. A detailed analysis of the contribution of each orbital to the overall $\text{Cu}\cdots\text{Cu}$ overlap population shows that the 1σ orbital contributes 0.0184 , a value that is not completely canceled by the contribution of the $1\sigma^*$ orbital, which is only -0.0094 . For the rest of orbitals, the contribution of the bonding and antibonding combinations practically cancel each other, except for the d_{z^2} pair, 2σ and $2\sigma^*$, for which hybridization with the empty s and p orbitals results in a slight enhancement of the antibonding interaction with respect to the bonding one. The mobility of the carriers, which will be created in the band originated from the $2\sigma^*$ orbitals, will be enhanced by the hybridization that results in an elongation of the "doughnut" of the d_{z^2} orbital in the $\text{Cu}\cdots\text{Cu}$ direction (3), which will result in a reinforcement of the overlap between neighboring units, and therefore in a wider band in the solid.



As already mentioned, the most important features of the $d^{10}\cdots d^{10}$ interaction described above arise from σ -type orbitals and are insensitive to rotation of the $[\text{O-Cu-O}]^{3-}$ units around the $\text{Cu}\cdots\text{Cu}$ axis, making the different relative orientations of the O-Cu-O fragments in CuAlO_2 and Cu_2O irrelevant in the following discussion. For constant Cu-O bond distances, the $\text{Cu}\cdots\text{Cu}$ distance is the only geometrical parameter that plays a key role in determining the strength of the $d^{10}\cdots d^{10}$ interaction. A decrease of this distance from 3.02 to 2.86 \AA (the values found in Cu_2O and CuAlO_2 , respectively) increases the $\text{Cu}\cdots\text{Cu}$ overlap population from 0.0058 to 0.0128 . The bonding and antibonding nature of the 2σ and $2\sigma^*$ orbitals is also enhanced. A measure of the dispersion of the band that will arise from the d_{z^2} orbitals of copper is given by the energy splitting between the 2σ and $2\sigma^*$ orbitals, and it increases from 0.18 to 0.25 eV when the $\text{Cu}\cdots\text{Cu}$ distance is reduced from 3.02 to 2.86 \AA .

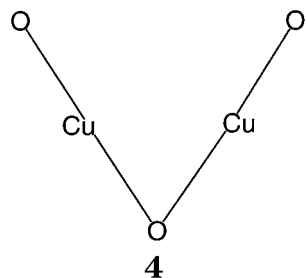
In Cu_2O 12 copper atoms surround each copper at 3.02 \AA , six of them belonging to the same sublattice as the central atom. While the model formed by two $[\text{O-Cu-O}]^{3-}$ units described above is able to reproduce the essential features of the $d^{10}\cdots d^{10}$ interaction between atoms of different sublattices, the smallest unit required to study the $d^{10}\cdots d^{10}$ interaction between two copper atoms belonging to the same sublattice is given by the angular $[\text{Cu}_2\text{O}_3]^{4-}$ fragment shown in 4.

(16) Jansen, M. *Angew. Chem., Int. Ed. Engl.* **1987**, *26*, 1098.

(17) Pyykkö, P. *Chem. Rev.* **1997**, *97*, 597.

(18) Mehrotra, P. K.; Hoffmann, R. *Inorg. Chem.* **1978**, *17*, 2187.

(19) Alemany, P.; Alvarez, S. *Inorg. Chem.* **1992**, *31*, 4266.



The calculated Cu...Cu overlap population for this fragment is -0.0036 , indicating a net repulsion between both metal atoms. An analysis of the molecular orbitals shows that the reason for this different behavior can be found in the poor overlap between the orbitals of each O-Cu-O fragment, which do not directly point toward each other in the $[\text{Cu}_2\text{O}_3]^{4-}$ unit, together with an unfavorable hybridization pattern with s and p orbitals. It is important to note that although the Cu...Cu distance is the same in both cases, the weak $d^{10}\cdots d^{10}$ interaction between the two copper atoms has a different sign, depending on the particular geometry of each fragment. This example shows that, in general, a relatively short distance between two atoms is not indicative of the existence of these $d^{10}\cdots d^{10}$ interactions.

$d^{10}\cdots d^{10}$ Interactions in CuAlO_2 and Cu_2O . Figure 6 shows the density of states (DOS) and the Cu...Cu crystal orbital overlap population (COOP) curves in the region of the valence band close to the Fermi level for CuAlO_2 (left-hand panel) and Cu_2O (right-hand panel). As expected from our previous discussion, the top of the valence band is mainly associated with bands arising from the d_{z^2} -type orbitals of copper (hatched in the figure). The integrated COOP curves indicate a net positive overlap population at the Fermi level for CuAlO_2 and for the copper pairs belonging to different sublattices in Cu_2O . The actual values for the overlap population, summarized in Table 1, are very similar to those obtained for the discrete fragments studied in the preceding section. A calculation for a modified structure of CuAlO_2 in which the Cu...Cu distances are expanded to 3.02 \AA gives a reduction in the overlap population similar to that found for two $[\text{O-Cu-O}]^{3-}$ units. In the solid-state structure, the overlap population between two neighboring Cu atoms on the same sublattice is also found to be negative.

To check the influence of s, p hybridization with the d orbitals in the solid-state structures, the calculations have been repeated with the s and p orbitals of copper omitted from the basis set. The values of the Cu...Cu overlap population (Table 1) show the same trends found for the discrete fragments, indicating that the mechanism already discussed for molecular compounds is also at the origin of $d^{10}\cdots d^{10}$ interactions in extended solid-state structures.

Hybridization of Cu d orbitals with higher energy unoccupied s and p states, as suggested by our calculations, has been recently observed in an experimental study²⁰ on Cu_2O . The analysis of experimental data indicates that about 0.22 electron per atom is removed from copper d_{z^2} states. This finding is in excellent qualitative agreement with the mechanism for $d^{10}\cdots d^{10}$ interactions proposed from our analysis, although the actual figure found from experimental data almost

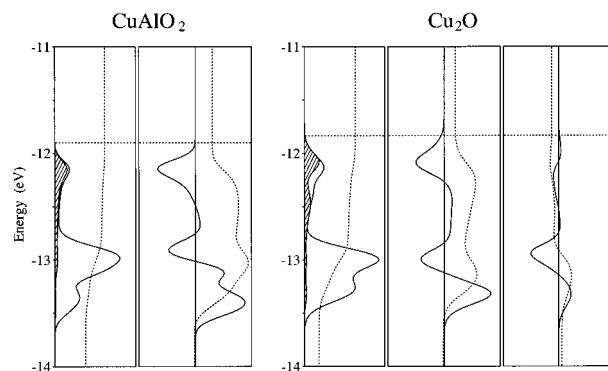


Figure 6. Density of states (DOS) and Cu-Cu crystal orbital overlap population (COOP) curves for CuAlO_2 and Cu_2O . The hatched areas in the DOS plots indicate states arising from d_{z^2} -type orbitals of copper. The two COOP curves for Cu_2O correspond to Cu...Cu interactions between different sublattices (left) and to Cu...Cu interactions within the same sublattice (right). Positive values of the COOP curve indicate bonding states; negative ones indicate antibonding states. The dashed curve in the COOP plots corresponds to the integrated COOP curve, and its value at the Fermi level (dashed horizontal line) gives the net overlap population between the pair of atoms considered in each case.

Table 1. Cu...Cu Overlap Populations in CuAlO_2 and Cu_2O , Calculated Using All Valence Orbitals on the Copper Atoms (s,p,d) and Only the d-orbital Set (only d).

	$d_{\text{Cu-Cu}}$ (\AA)	ov pop. (s, p, d)	ov pop. (only d)
CuAlO_2	2.86	+0.0075	0.0009
Cu_2O (same sublattice)	3.02	0.0032	0.0003
Cu_2O (between sublattices)	3.02	+0.0049	0.0004

doubles the one obtained in our calculations. The interpretation of the experimental data given by Zuo et al.²⁰ in their work has, however, been recently questioned by Wang and Schwarz.²¹ According to these authors, there seems as yet to exist no proof of significant Cu-Cu closed-shell bonding at such large distances as those found in cuprite. As we will discuss in the next section, the appearance of color in Cu_2O may be seen, in our opinion, as important evidence for the presence of these interactions in this compound.

Influence of $d^{10}\cdots d^{10}$ Interactions on the Conductivity and Color of CuAlO_2 and Cu_2O . The main goal of this work is to analyze the role that the extended net of $d^{10}\cdots d^{10}$ contacts found in delafossite and in cuprite may have in their electrooptical properties. The two main features of the band structure that we will look at are (a) the band gap and (b) the width of the d_{z^2} -type bands close to the Fermi level. To allow a direct comparison of our semiempirical calculations for both solids, the band structure of CuAlO_2 has been replaced by that of an infinite layer of composition $[\text{CuO}_2]^{3-}$. A detailed comparison of the band structure for the complete crystal and that calculated for this slab shows that both are qualitatively equivalent in the region around the Fermi level. Aluminum orbitals have a negligible participation in the valence band of CuAlO_2 and therefore do not affect the width of the bands close

(20) Zuo, J. M.; Kim, M.; O'Keeffe, M.; Spence, J. C. H. *Nature* **1999**, *401*, 49–52.

(21) Wang, S.-G.; Schwarz, W. H. E. *Angew. Chem., Int. Ed. Engl.* **2000**, *39*, 1757–1762.

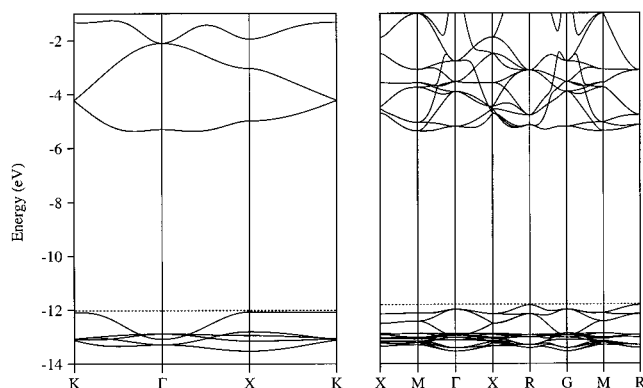


Figure 7. Band structures for $[\text{CuO}_2]^{3-}$ (left) and Cu_2O (right). The dashed horizontal line indicates the position of the Fermi level. In both compounds, the valence band (centered around -13 eV) is clearly separated from the conduction band (levels above -6 eV) by a band gap.

to the top of the valence band. As far as the gap is concerned, participation of aluminum states at the bottom of the conduction band, even if small, could introduce some differences when compared to Cu_2O that are difficult to evaluate by a semiempirical approach like ours. Since we are interested only in the qualitative variations experienced by the band gap when the strength of $\text{Cu}\cdots\text{Cu}$ interactions is modified, and not in its accurate value, which the extended Hückel method is not expected to reproduce properly, we think that the model structure adopted for CuAlO_2 is sufficient to reproduce all the features of the band structure needed in our discussion. In this way we deal with two systems having the same computational parameters, avoiding the problem of calibrating the effect of the parameters of aluminum in the calculations.

Figure 7 shows the band structure for the $[\text{CuO}_2]^{3-}$ slab and for Cu_2O . In both cases our calculations predict an indirect gap of approximately $6\text{--}7$ eV. These values are overestimated with respect to the experimental measurements of this magnitude, although, as said before, we will not be concerned here with the actual values of the band gap, which depend strongly on the atomic parameters adopted in the calculations. What we want to study is the influence of $\text{Cu}\cdots\text{Cu}$ interactions on the band gap and on the width of the valence band. For a detailed study of the electronic structure of CuAlO_2 and Cu_2O , the reader is referred to earlier work^{22,23} and references therein.

It is very easy to study the influence of the $\text{Cu}\cdots\text{Cu}$ distance on these magnitudes with the $[\text{CuO}_2]^{3-}$ slab. The variation of the band gap with this geometrical parameter is shown in Figure 8. As expected from the decrease of overlap between neighboring copper orbitals, the valence band becomes narrower for separation of the copper atoms. Since the conduction band, mainly formed from copper s and p orbitals, also becomes narrower, the band gap is widened upon increasing the $\text{Cu}\cdots\text{Cu}$ distance. This finding is in good agreement with the trend found by Jansen¹⁶ for the activation energies in a series of delafossites with varying $\text{Cu}\cdots\text{Cu}$ distances.

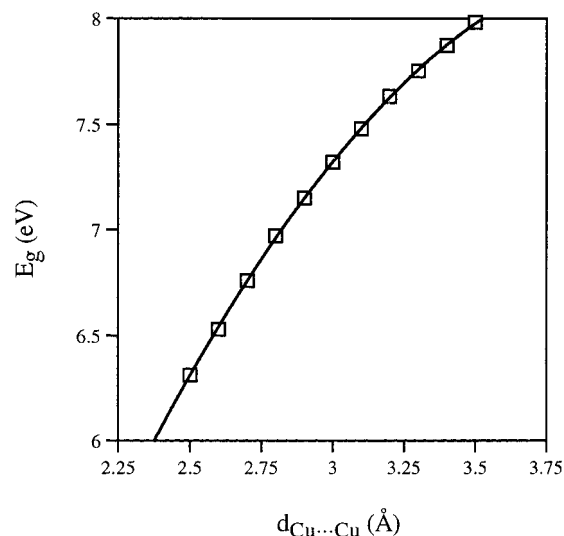


Figure 8. Variation of the band gap and the width of the valence band with the $\text{Cu}\cdots\text{Cu}$ distance in the $[\text{CuO}_2]^{3-}$ layer.

Table 2. Band Gap (E_g) and Valence-Band Width (W) for $[\text{CuO}_2]^{3-}$ and Cu_2O

	$d_{\text{Cu}\cdots\text{Cu}}$ (Å)	E_g (eV)	W (eV)	deleted $\text{Cu}\cdots\text{Cu}$ interactions	E_g (eV)	W (eV)
$[\text{CuO}_2]^{3-}$	2.86	6.70	1.45	all	8.05	0.79
	3.02	7.05	1.22	all	8.20	0.77
Cu_2O	3.02	6.46	1.71	same sublattice	5.17	1.69
	3.02			between sublattices	7.17	1.24

^a Values in the last two columns correspond to calculations in which neighboring $\text{Cu}\cdots\text{Cu}$ interactions have been selectively deleted in the Hamiltonian matrix.

If the expected variation of the band gap and the width of the valence band with the $\text{Cu}\cdots\text{Cu}$ distance is used to compare these properties of CuAlO_2 and Cu_2O , one would arrive at the wrong conclusion that the gap should be wider in cuprite. In view of this apparent contradiction with experimental observations, a more elaborate explanation must be found for the origin of color in Cu_2O . To analyze the effect of $d^{10}\cdots d^{10}$ interactions on the band gap and the width of the valence band we have performed the following numerical experiment: repeat all calculations, deleting the terms in the Hamiltonian matrix that represent interactions between orbitals of two neighboring copper atoms. This procedure works well for weak interactions such as the $d^{10}\cdots d^{10}$ case studied here but must be carefully performed if one wants to study the effect of stronger interactions, since deleting large elements of the Hamiltonian matrix often leads to senseless results. The values for the band gap and the width of the valence band for systems in which $d^{10}\cdots d^{10}$ interactions have been selectively annihilated are reported in Table 2. These data indicate clearly that $d^{10}\cdots d^{10}$ interactions between copper atoms are essential in determining both the band gap and the width of the valence band. When the $d^{10}\cdots d^{10}$ interactions are suppressed, both compounds show similar band gaps. Since the larger $\text{Cu}\cdots\text{Cu}$ distance in Cu_2O should lead to a narrower band, we must conclude that the key factor in the relatively small band gap (wide valence band) found in cuprite is, as anticipated by Kawazoe et al.,³ the topology of the 3D arrangement of $\text{Cu}\cdots\text{Cu}$ contacts that allows much more effective $d^{10}\cdots d^{10}$ interactions than the 2D arrangement

(22) Buljan, A.; Alemany, P.; Ruiz, E. *J. Phys. Chem. B* **1999**, *103*, 8060.

(23) Ruiz, E.; Alvarez, S.; Alemany, P.; Evarestov, R. A. *Phys. Rev. B* **1997**, *56*, 7189.

Table 3. Atomic Parameters for Extended Hückel Calculations^a

atom	orbital	H_{ii} (eV)	ζ_{i1}	c_1	ζ_{i2}	c_2
Cu	4s	8.345	2.200			
	4p	4.216	2.200			
	3d	13.162	5.950	0.5933	2.300	0.5744
O	2s	31.600	2.275			
	2p	16.776	2.275			
Al	3s	11.794	1.167			
	3p	5.976	1.167			

^a H_{ii} are the valence shell ionization potentials,²⁸ ζ_{ij} are the Slater exponents, and c_j are the coefficients in the double- ζ expansion of the d orbitals.

found in CuAlO₂. This finding shows that in this case the physical properties depend not only on local features of the crystal structure but also on its global spatial arrangement.

Conclusions

Weak d¹⁰...d¹⁰ interactions, which have been previously described for discrete organometallic compounds, are also present in a great number of extended solid-state phases. Together with the important structural implications of these interactions, a detailed analysis of the band structure reveals that they also play a key role in the appearance of color and conductivity in CuAlO₂ and Cu₂O. It is remarkable, however, that these two properties not only are dependent on local features of d¹⁰...d¹⁰ contacts but also are intimately related to

the topology of the network of d¹⁰...d¹⁰ interactions that extend through the whole crystal structure. As a conclusion, 3D arrangements of Cu...Cu contacts should be avoided if one wants to obtain a good p-type transparent conductor based on Cu(I)–O compounds.

Acknowledgment. We are grateful to S. Alvarez and E. Canadell for helpful comments on this work. A.B. thanks the *Agencia Española de Cooperación Internacional* for a MUTIS fellowship. Financial support to this work was provided by FONDECYT (Chile) through Grant 3980044, DGES (Spain) through Project PB98-1166-CO2-01, and CIRIT (Generalitat de Catalunya) through Grant SGR99-0046.

Appendix

All qualitative theoretical discussions presented in this paper are based on molecular orbital²⁴ and tight-binding band calculations^{25,26} of the extended Hückel type as implemented in the CASSANDRA²⁷ suite of programs. Atomic parameters used in the calculations are those shown in Table 3

CM0011530

(24) Hoffmann, R. *J. Chem. Phys.* **1963**, *39*, 1397.

(25) Whangbo, M.-H.; Hoffmann, R. *J. Am. Chem. Soc.* **1978**, *100*, 6093.

(26) Whangbo, M.-H.; Hoffmann, R.; Woodward, R. B. *Proc. R. Soc. London A* **1979**, *366*, 23.

(27) Llunell, M.; Alemany, P.; Ruiz, E. *CASSANDRA*, v. 0.3; Universitat de Barcelona: Barcelona, Catalunya, Spain, 1999.

(28) Vela, A.; Gázquez, J. L. *J. Phys. Chem.* **1988**, *92*, 5688.